



New Zealand Emissions Trading Scheme Auctioning Registration and Declaration

Use this form to register to participate in New Zealand Emission Trading Scheme auctions and declare that all the information you have provided is true and correct.

For further enquiry or assistance on how to complete this form please contact us directly:
Phone: (+64) 4 470 9768, or
Email: auctions@nzx.com

Please note: this form needs to be completed by the person that holds a holding account in the New Zealand Emissions Trading Registry (Registry) or the person authorised to act as their primary representative in respect of the Registry account to be used to bid in auctions.

This form is required under the Climate Change (Auctions, Limits, and Price Control Settings for Units) Regulations 2020 (Regulations).

All information is mandatory unless indicated as ‘optional’

1. PERSON SUBMITTING THIS DECLARATION

Full name: _____

Organisation (optional): _____

Preferred contact method: Email or Phone

Email address: _____

Preferred Telephone Number
Country Area Code Number

Alternate Telephone Number (optional)
Country Area Code Number

The status of the applicant: Registry Account holder or
Registry Account primary representative



2. NEW ZEALAND EMISSIONS TRADING REGISTRY ACCOUNT DETAILS

NZ ETS Registry Account Holder(s) full legal name:

NZ ETS Registry Account Holder(s) trading name (if different):

NZ ETS Registry Account number (to receive NZUs purchased at auction):

NZ ETS Registry Account Primary representatives (please list the full names of all Primary Representatives or Account Holders, if more than one):

Business Number e.g. NZBN (if applicable)

NZ ETS Registry Account address (physical business address of the Account Holder as listed in the Register):



3. DECLARATION

Under section 20(3)(c) of the Regulations a person applying to register as a bidder in auctions must provide a statutory declaration that the information provided in the application is true and correct.

Please make the following declaration:

I _____ (name) of

_____ (location) solemnly and sincerely declare that:

- I have notified the Registrar of my intention to make the application.¹
- I am making this solemn declaration conscientiously believing the same to be true, and by virtue of the Oaths and Declarations Act 1957.
- I confirm that the information I have provided to the auction operator to register as an auction participant is complete, true and correct.
- I authorise the Registrar to release the information contained in the Register for the purposes of registering to participate in the NZ ETS auctions.

Signature

Date

Title (if applicable)

Organisation (if applicable)

Declared at (location)

Name of person authorised to witness a statutory declaration (refer notes on next page)

Name (please print clearly)

Signature

Date

Position/Occupation



Who may witness a statutory declaration?

Please note that under section 9 of the Oaths and Declarations Act 1957, a declaration in New Zealand must be made before:

- (a) a person enrolled as a barrister and solicitor of the High Court; or
- (b) a Justice of the Peace; or
- (c) a notary public; or
- (ca) the Registrar or a Deputy Registrar of the Supreme Court; or
- (d) the Registrar or a Deputy Registrar of the Court of Appeal; or
- (e) a Registrar or Deputy Registrar of the High Court or a District Court; or
- (f) some other person authorised by law to administer an oath; or
- (g) a member of Parliament; or
- (h) a person who is a fellow of the body (incorporated under the Incorporated Societies Act 1908) that, immediately before the commencement of the Oaths and Declarations Amendment Act 2001,
 - (i) was called the New Zealand Institute of Legal Executives; and
 - (ii) is acting in the employment of the holder of a practising certificate as a barrister and solicitor of the High Court; or
- (i) an employee of the New Zealand Transport Agency, authorised for that purpose (by name, or as the holder for the time being of a specified office or title) by the Minister of Justice by notice in the Gazette; or
- (ia) an employee of Public Trust constituted under the Public Trust Act 2001, authorised for that purpose (by name, or as the holder for the time being of a specified office or title) by the Minister of Justice by notice in the Gazette; or
- (j) an officer in the service of the Crown, or of a local authority within the meaning of the Local Government Act 2002, authorised for that purpose (by name, or as the holder for the time being of a specified office or title) by the Minister of Justice by notice in the Gazette.

Under section 11 of the Oaths and Declarations Act 1957, the following conditions apply to a declaration made outside New Zealand:

- (1) A declaration made in a Commonwealth country other than New Zealand shall be made before a Judge, a Commissioner of Oaths, a notary public, a Justice of the Peace, or any person authorised by the law of that country to administer an oath there for the purpose of a judicial proceeding, or before a Commonwealth representative, or before a solicitor of the High Court of New Zealand.
- (2) A declaration made in a country other than a Commonwealth country shall be made before a Commonwealth representative, or before a Judge, or before a notary public, or before a solicitor of the High Court of New Zealand.

4. WHAT HAPPENS NEXT?

Once completed and witnessed please upload this as part of the registration process.

For more information, [please visit the ETS Auctions website.](#)

Notes

¹ To notify the Registrar of the New Zealand Emissions Trading Register of your intention to register as a bidder in ETS Auctions, you must log onto the Register. The process can be found [here](#) under 'How to manage Account Operators in the Register' or 'How to manage Account Holder Users in the Register'.